



COPY OF PAPERS
ORIGINALLY FILED

21 #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert Miller et al. : Date: June 3, 2002
Group Art Unit: 2171 : IBM Corporation
Confirmation No.: 3237 : Intellectual Property Law
Examiner: Unassigned : Dept. 917, Bldg. 006-1
Serial No.: 09/845,596 : 3605 Highway 52 North
Filed: April 30, 2001 : Rochester, MN 55901
Title: GROUP ACCESS PRIVATIZATION
IN CLUSTERED COMPUTER SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JUN 18 2002
Technology Center 2100

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/845,596
Docket No. ROC920000273US1

COPY OF PAPER
ORIGINALLY FILED

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

June 3, 2002

(Date of Deposit)

Debra A. Peterson
Debra A. Peterson

Respectfully submitted,

ROBERT MILLER ET AL.

By Steven W. Roth

Steven W. Roth

Attorney Reg. No. 34,712

IBM Corporation - Department 917

3605 Highway 52 North

Rochester, Minnesota 55901-7829

Telephone: 1-507-253-1600